



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/644,785	08/21/2003	Takahiro Amanai	12577/20	6921

7590 10/05/2006

KENYON & KENYON
Suite 700
1500 K Street, N.W.
Washington, DC 20005

EXAMINER

LAVARIAS, ARNEL C

ART UNIT	PAPER NUMBER
----------	--------------

2872

DATE MAILED: 10/05/2006

Please find below and/or attached an Office communication concerning this application or proceeding..

Office Action Summary

Application No.

10/644,785

Applicant(s)

AMANAI, TAKAHIRO

Examiner

Arnel C. Lavarias

Art Unit

2872

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 04 August 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2 and 4-12 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,2 and 4-12 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Priority

1. Applicant's remarks regarding foreign priority details of the instant application are noted. Office records will be corrected to reflect the proper foreign priority status of the instant application.

Response to Amendment

2. The amendments to Claims 1, 11-12 in the submission dated 8/4/06 are acknowledged and accepted. In view of the amendments made to the claims above, the objections to the claims in Section 5 of the Office Action dated 5/9/06 are respectfully withdrawn.
3. The cancellation of Claim 3 in the submission dated 8/4/06 is acknowledged and accepted.
4. The declaration under 37 CFR 1.132 filed 8/4/06 is sufficient to overcome the rejection of Claims 1-12 based upon 35 U.S.C. 102(a) (See Section 7 of the Office Action dated 5/9/06). In view of the submission of the above declaration, the rejections in Section 7 of the Office Action dated 5/9/06 are respectfully withdrawn.

Response to Arguments

5. The Applicant argues that, with respect to newly amended Claim 1, as well as Claims 2-12 which depend on Claim 1, the combined teachings of Ono '677 and Ono '461 fail to teach or reasonably suggest a main body in which the display element, the projecting

Art Unit: 2872

optical system, and the diffusive hologram screen are arranged, wherein the main body has a grip portion to allow an operator to hold the main body in front of the operator.

After reviewing both Ono '677 and Ono '461, the Examiner agrees, and respectfully withdraws the rejections in Sections 8-15 of the Office Action dated 5/9/06.

6. The Examiner notes that the Official Notice taken in Section 15 of the Office Action dated 5/9/06 (See specifically Pages 9-10) has been taken to be admitted prior art since Applicants failed to seasonably traverse the assertion of Official Notice (See MPEP 2144.03).

7. Claims 1-2, 4-12 are now rejected as follows.

Claim Rejections - 35 USC § 103

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 1-2, 6-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ono '677 (JP 2000-284677A), of record, in view of Ono '461 (U.S. Patent Application Publication US 2002/0021461 A1), of record, and Kadowaki et al. (U.S. Patent No. 6522311).

Ono '677 discloses an image display apparatus (See for examples Figures 1, 3) comprising a display element that displays a picture (See 23 in Figure 1; 43 in Figure 3); a projecting optical system that forms a real image of the picture (See 23 in Figure 1; 43

in Figure 3; Paragraph 0027); and a diffusive hologram screen (See 22 in Figure 1; 42 in Figure 3) disposed at a position of the real image or in a vicinity thereof, wherein the diffusive hologram screen has a predetermined directionality, to thereby introduce, when an operator uses the image display apparatus held in his hand, the picture displayed on the image display element exclusively into a pupil of the operator (See Abstract; Figures 1, 3). Ono '677 additionally discloses the following condition being satisfied:

$$0.01 < \frac{Y}{D} < 2.7 \text{ (See Paragraphs 0021-0026; wherein the ratio ranges from .25}$$

(Y=100mm and D=400mm) to .60 (Y=90mm and D=150mm)); the diffusive hologram screen is a reflection-type one (See Figure 1); the diffusive hologram screen is a transmission-type one (See Figure 3); and a screen surface of the diffusive hologram screen is shaped as a plane surface (See 22 in Figure 1; 42 in Figure 3). Ono '677 lacks the following condition being satisfied: $0.3^\circ < \theta < 54.0^\circ$, and the apparatus including a main body in which the display element, the projecting optical system, and the diffusive hologram screen are arranged, wherein the main body has a grip portion to allow an operator to hold the main body in front of the operator. However, Ono '461 teaches a conventional holographic display device (See for example Figures 1, 4, 6-7) which utilizes a holographic diffusive element (See 4, 60 in Figures 1, 4, 6). In particular, an embodiment of the holographic diffuser has a full width half maximum diffusion characteristic of approximately 5 degrees (See 66 in Figure 7). Thus, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have the following condition be satisfied: $0.3^\circ < \theta < 54.0^\circ$, as taught by Ono '461, in the apparatus of Ono '677, for the purpose of increasing the brightness of the display image.

Art Unit: 2872

The combined teachings of Ono '677 and Ono '461 lack the apparatus including a main body in which the display element, the projecting optical system, and the diffusive hologram screen are arranged, wherein the main body has a grip portion to allow an operator to hold the main body in front of the operator. However, it is well known in the art for such optical image display systems to be utilized in various applications. For example, Kadowaki et al. teaches a conventional image information displaying system (See for example Figures 28, 31-32) that includes a display element, a projecting optical system, and a screen (See 10, 11, 12, 14, 120 in Figure 28). The displaying system is utilized in an automotive vehicle, which includes a main body (See 7 in Figure 28) in which the display element, the projecting optical system, and the diffusive hologram screen are arranged. The main body also includes a grip portion (See steering wheel near element 120 in Figure 28) to allow an operator to hold the main body in front of the operator. Thus, it would have been obvious to one having ordinary skill in the art at the time the invention was made for the apparatus of Ono '677 and Ono '461, to include a main body in which the display element, the projecting optical system, and the diffusive hologram screen are arranged, wherein the main body has a grip portion to allow an operator to hold the main body in front of the operator, as taught by Kadowaki et al., to allow for viewing of images/pictures/movies while being mobile.

10. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ono '677 in view of Ono '461 and Kadowaki et al. as applied to Claim 1 above, and further in view of Kanda et al. (U.S. Patent No. 6198554), of record.

Ono '677 in view of Ono '461 and Kadowaki et al. discloses the invention as set forth above in Claim 1, except for the following condition being satisfied: $0.3^{\circ} < \delta < 54.0^{\circ}$. However, Kanda et al. teaches a conventional display (See for example Figures 34, 37) utilizing a holographic diffuser (See 83 in Figure 34; 103 in Figure 37). In particular, Kanda et al. teaches that the maximum of the diffusion characteristic of the holographic diffuser over the entire surface of the diffuser is directed toward the viewing zone (See 93a in Figure 34; 110 in Figure 37). Though the particular angles are not explicitly disclosed, it is evident from the disclosed embodiments (See specifically Figures 34 and 37) that the condition $0.3^{\circ} < \delta < 54.0^{\circ}$ appears to be satisfied, where δ may be defined as the angle formed between the uppermost diffused light (See uppermost diffused light 110 in Figure 37) to the observer and the middle most diffused light (See middle most diffused light 110 in Figure 37) to the observer (Each of the diffused light has a different angle of directivity, wherein all these angles of directivity are directed toward the observer. The angle δ appears to approximately 25 degrees for the embodiment shown in Figure 37.). Thus, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have the following condition be satisfied: $0.3^{\circ} < \delta < 54.0^{\circ}$, as taught by Kanda et al., in the apparatus of Ono '677 in view of Ono '461 and Kadowaki et al., for the purpose of expanding the viewing area as seen from the observer.

11. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ono '677 in view of Ono '461 and Kadowaki et al. as applied to Claim 1 above, and further in view of Seufert (U.S. Patent No. 5897192), of record.

Ono '677 in view of Ono '461 and Kadowaki et al. discloses the invention as set forth above in Claim 1, except for at least one of the optical elements constituting the projecting optical system having a free-formed surface. However, the use of one or more free-formed elements in a projecting optical system is known in the art. For example, Seufert teaches a conventional projection module (See for example Figure 9), wherein a surface of an optical element, such as a condenser lens (See 23, 25 in Figure 9), may be constructed as a free form surface (See col. 11, line 30-col. 13, line 16; col. 18, lines 41-49). Thus, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have at least one of the optical elements constituting the projecting optical system have a free-formed surface, as taught by Seufert, in the apparatus of Ono '677 in view of Ono '461 and Kadowaki et al., for the purpose of optimizing the brightness distribution and intensity (i.e. achieve uniform brightness) of the incident light generated by the light source in the projecting optical system.

12. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ono '677 in view of Ono '461 and Kadowaki et al. as applied to Claim 1 above, and further in view of Hildebrand et al. (U.S. Patent No. 6094181), of record.

Ono '677 in view of Ono '461 and Kadowaki et al. discloses the invention as set forth above in Claim 1, except for the screen surface of the diffusive hologram screen being a curved surface. However, Hildebrand et al. teaches a conventional miniature electronic display (See for example Figures 6, 9, 13-15), wherein the diffusing optical element (See for example 24 in Figure 9; col. 10, lines 35-45) may be curved. Thus, it would have been obvious to one having ordinary skill in the art at the time the invention was made to

Art Unit: 2872

have the screen surface of the diffusive hologram screen be a curved surface, as taught by Hildebrand et al., in the apparatus of Ono '677 in view of Ono '461 and Kadowaki et al., for the purpose of providing field curvature matching, thus allowing for high fields of view.

13. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ono '677 in view of Ono '461 and Kadowaki et al. as applied to Claim 1 above, and further in view of Hockley et al. (U.S. Patent No. 5046793), of record.

Ono '677 in view of Ono '461 and Kadowaki et al. discloses the invention as set forth above in Claim 1, except for the diffusive screen being arranged in such a manner that a screen surface thereof is tilted in reference to the operator and is perpendicular to an axial chief ray of the projecting optical system. However, Hockley et al. teaches a conventional projection system (See for example Figures 3-5) utilizing a holographic diffuser (See for example 50 in Figure 5). In particular, Hockley et al. teaches that the diffusive screen may be arranged in such a manner that a screen surface thereof is tilted in reference to the operator (See 52 in Figure 5) and is perpendicular to an axial chief ray of the projecting optical system (See 59 in Figure 5). Thus, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have the diffusive screen be arranged in such a manner that a screen surface thereof is tilted in reference to the operator and is perpendicular to an axial chief ray of the projecting optical system, as taught by Hockley et al., in the apparatus of Ono '677 in view of Ono '461 and Kadowaki et al., for the purpose of simplifying the optical system, since

Art Unit: 2872

deflecting optical elements, such as mirrors or reflectors, are not required to route the light from the source to the holographic diffuser.

14. Claims 11-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ono '677 in view of Ono '461 and Kadowaki et al. as applied to Claim 1 above, and further in view of Hildebrand et al.

Ono '677 in view of Ono '461 and Kadowaki et al. discloses the invention as set forth above in Claim 1, except for the image display being a part of a personal data assistant or cellular phone, wherein the personal data assistant includes buttons, a data processor, a storage device, and a transceiver, and the cellular phone including buttons, an audio input unit, and an audio output unit. However, Hildebrand et al. teaches a conventional miniature electronic display (See for example Figures 6, 9, 13-15), which may be utilized in personal data assistants or cellular phones (See col. 7, lines 34-51). Official notice is taken of the fact that it is well known in the art for personal data assistants to include buttons (for inputting data into the personal data assistant), a data processor (such as a CPU, for processing the input data), a storage device (to store the input data), and a transceiver (such as a wireless or wired link to communicate with another host such as a computer or another personal data assistant). Official notice is also taken of the fact that it is well known in the art for cellular phones to include buttons (for inputting data into the cellular phone), an audio input unit (such as a microphone, for inputting speech into the cellular phone), and an audio output unit (such as a speaker, to allow one to listen to audio from the cellular phone). Thus, it would have been obvious to one having ordinary skill in the art at the time the invention was made to have the image display be a part of a

Art Unit: 2872

personal data assistant or cellular phone, as taught by Hildebrand et al., in the apparatus of Ono '677 in view of Ono '461 and Kadowaki et al., to take advantage of the small size of the display to reduce the size of the personal data assistant and cellular phone.

Conclusion

15. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Arnel C. Lavarias whose telephone number is 571-272-2315. The examiner can normally be reached on M-F 9:30 AM - 6 PM EST.

Art Unit: 2872

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Drew Dunn can be reached on 571-272-2312. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Arnel C. Lavarias
Primary Examiner
Group Art Unit 2872
9/29/06